



TFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Robert A. GELMAN et al.

Group Art Unit: 1711

Appln. No. : 09/613,425

Examiner: Truong

Filed : July 10, 2000

For : COMPOSITION FOR IMPARTING DESIRED PROPERTIES TO  
MATERIALS

**REQUEST FOR RETURN OF COMPLETELY INITIALED FORMS PTO-1449**

Commissioner for Patents  
U.S. Patent and Trademark Office  
Customer Service Window, **Mail Stop Issue Fee**  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

Upon review of the file after receipt of the Notices of Allowance and Allowability mailed June 6, 2007, Applicants note that Canadian Patent No. 2,117,318 laid open December 11, 1994, which is cited in the Second Supplemental Information Disclosure Statement filed October 18, 2004, is not initialed on the initialed Forms PTO-1449 attached to the Notice of Allowability or as attached to the Office Action mailed July 18, 2005.

Accordingly, Applicants are submitting another copy of the Form PTO-1449 listing this document accompanied by another copy of the document, and a date-stamped mailroom receipt evidencing the filing of this document on October 18, 2004.

Moreover, during the above-noted review of the file, it was noted that an initialed copy of the Form PTO-1449 submitted with the Supplemental Information Disclosure Statement filed February 1, 2001 has not been forwarded to Applicants. The undersigned therefore contacted the Examiner, and during an August 28, 2007 telephone call the Examiner indicated that a copy of

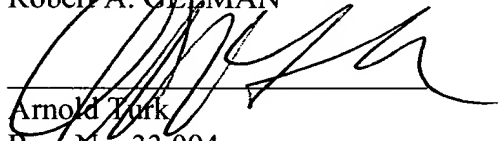
the Supplemental Information Disclosure Statement is not present in the file. Applicants therefore submit herewith another signed copy of the Supplemental Information Disclosure Statement accompanied by a date-stamped mailroom receipt evidencing the filing of the Supplemental Information Disclosure Statement on February 1, 2001.

The Examiner is therefore requested to initial the forms, and to forward an initialed copy of the forms to Applicants so that the printed patent will reflect the Examiner's consideration of the Information Disclosure Statements.

Authorization is hereby provided to charge any required fee for consideration of this paper and/or the Information Disclosure Statements to Deposit Account No. 19-0089.

If there are any questions, the Examiner is requested to contact the undersigned at the below-listed telephone number.

Respectfully submitted,  
Robert A. GELMAN

A handwritten signature in dark ink, appearing to read 'Arnold Turk', is written over a horizontal line.

Arnold Turk  
Reg. No. 33,094  
September 4, 2007

GREENBLUM & BERNSTEIN, P.L.C.  
1950 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191



GREENBLUM & BERNSTEIN, P.L.L.C.  
Intellectual Property Causes  
1950 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

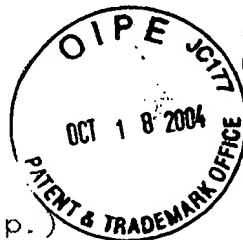
COPY

10/18/2004

File In: MAILROOM

The Patent Office Date stamp hereon is an acknowledgement that, on the date indicated, the Patent Office received the following:

- |   |   |
|---|---|
| <input type="checkbox"/> Amendment _____  | <input type="checkbox"/> Claim of Priority & Certified Copy of _____  |
| <input type="checkbox"/> Executed Assignment and cover letter <input type="checkbox"/> by facsimile |   |
| <input type="checkbox"/> Executed S.E.S. <input type="checkbox"/> S.E.S. Assertion                  | <input type="checkbox"/> Declaration <input type="checkbox"/> Supplemental  |
| <input type="checkbox"/> Req. for Ext. of Time  | <input type="checkbox"/> New <input type="checkbox"/> Unexecuted  |
| <input checked="" type="checkbox"/> Fee Filing <input checked="" type="checkbox"/>                  | <input type="checkbox"/> Executed by facsimile  |
| Recording <input type="checkbox"/>  |   |
| Issue <input type="checkbox"/>  |   |
| Extension <input type="checkbox"/>  |   |
| <input type="checkbox"/> Fee Transmittal  | <input type="checkbox"/> Transmittal Letter   |
| <input type="checkbox"/> Maintenance Fee Payment  | <input type="checkbox"/> Patent Application   |
| <input type="checkbox"/> PTOL-85B Issue Fee   | <input type="checkbox"/> Provisional <input type="checkbox"/> Reexam  |
| <input type="checkbox"/> Letter to Draftsman (in trip.)   | <input type="checkbox"/> Reissue <input type="checkbox"/> Design  |
| <input type="checkbox"/> Design Patent Application Transmittal                                      | <input type="checkbox"/> Continuation <input type="checkbox"/> CIP  |
| <input type="checkbox"/> Utility Patent Application Transmittal                                     | _____ pages (w/abstract)  |
| <input type="checkbox"/> Provisional Application Cover Sheet  | _____ claims _____ independent  |
| <input type="checkbox"/> Continued Prosecution Application  | _____ sheets of drawings  |
| <input type="checkbox"/> (CPA) Request Transmittal  | <u>Scope</u> figures  |
| <input checked="" type="checkbox"/> Request for Continued Examination (RCE) including submission    | <input checked="" type="checkbox"/> I.D.S. form PTO-1449 & References <input checked="" type="checkbox"/> as attached <input type="checkbox"/> as listed on reverse |
| <input type="checkbox"/> Cover Letter   | <input type="checkbox"/> One self-addressed postcard  |
| <input checked="" type="checkbox"/> Check No. 51845 for \$ 790.00                                   | <input type="checkbox"/> Certification under 1.97(e)  |
| <input type="checkbox"/> Rule 53b and 53f Letter for Unexecuted Application                         | <input type="checkbox"/> Certificate of Mailing (C-O-M)   |
|   | <input type="checkbox"/> Returned Envelope  |



In the matter of : COMPOSITION FOR IMPARTING DESIRED PROPERTIES TO MATERIALS

Applicant : Robert A. GELMAN *et al*

Application No. : 09/613,425

Patent No. :

Filed : 7/10/00

Issued :

Docket : P18732

*AT*

U.S. Department of Commerce Patent and Trademark Office

Attorney Docket No. P18732

Applicant Robert A. GELMAN et al.

Filing Date July 10, 2000

Group 1711

SEP 05 2007

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)

U.S. PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
5 7 1 6 6 0 3	02/10/98	CHEN et al.			
5 7 1 8 7 5 6	02/17/98	MOHLER			
5 7 2 5 7 3 1	03/10/98	BRUNGARDT et al.			
5 7 3 8 8 4 3	04/14/98	MONTGOMERY			
5 7 7 0 1 8 4	06/23/98	KELLER			
5 8 1 1 4 7 2	09/22/98	PATEL			
5 8 4 6 6 6 3	12/08/98	BRUNGARDT et al.			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
2 1 1 7 3 1 8	12/11/94	CANADIAN			

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

1. B. Albert, "Formulating Nail Lacquer," *Drug and Cosmetic Industry*, p. 48 (1) (November 1998).
2. R. A. Bankert, H.H. Esby, M. Grassie, and G.L. Schertz, "The Development of New Polyamide Resins for Easy-to-Manage Hair," *Journal of the Society of Cosmetic Chemists*, Vol. 23, pp. 321-31 (May 23, 1972).
3. J. C. Sauer, "Ketene Kinners from Acid Halides," *Journal of the American Chemical Society*, Vol. 69, pp. 2444-8 (1947).

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. Department of Commerce Patent and Trademark Office

Attorney Docket No. P18732

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DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
2 6 3 3 1 3 9	03/31/53	PETTEY			
2 7 6 4 1 6 6	09/25/56	BOGOLOWSKY			
2 7 4 6 4 6 0	05/22/56	JELLINEK			
2 9 4 1 5 3 5	06/21/60	LAPPE			
2 9 7 9 0 6 1	04/11/61	GREENMAN			
3 0 3 7 5 1 4	06/05/62	LAPPE			
3 1 5 7 9 1 2	11/24/64	LISCZAWKA			
3 2 7 7 9 0 0	10/11/66	LAPPE			
3 4 2 5 4 2 6	02/04/69	WELANETZ			
3 4 8 3 2 8 9	12/09/69	MICHAELSON et al.			
3 4 8 7 8 3 1	01/06/70	JAUME et al.			
3 5 5 2 4 0 1	01/03/71	MICHAELSON			
3 7 1 4 2 9 8	01/30/73	BERGOMI, Jr.			
4 1 0 4 3 3 3	08/01/78	LEE, Jr. et al.			
4 1 0 6 6 1 4	08/15/78	AYLOTT			
4 2 2 9 4 3 1	10/21/80	LEE, Jr. et al.			
4 2 4 0 4 5 0	12/23/80	GROLIER et al.			
4 2 6 0 7 0 1	04/07/81	LEE, Jr.			
4 3 2 3 4 9 0	04/06/82	TOPFL			
4 3 8 1 2 9 4	04/26/83	BOUILLON et al.			
4 4 2 0 5 3 0	12/13/83	TOYODA et al.			
4 5 0 1 6 4 0	02/26/85	SOERENS			
4 5 2 2 6 8 6	06/11/85	DUMAS			
4 5 7 1 4 1 2	02/18/86	MINAMIDE et al.			
4 5 9 4 1 0 8	06/10/86	GREMINGER, Jr. et al.			
4 6 2 6 4 2 8	12/02/86	WEISBERG et al.			
4 6 6 9 4 9 1	06/02/87	WEISBERG et al.			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
6 6 6 3 6 8	08/09/98	E.P.O.			

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

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P18732.P09

FORM PTO-1449

U.S. Department of Commerce Patent and Trademark Office

Attorney Docket No. P18732

Applicant Robert A. GELMAN et al.

Filing Date July 10, 2000

Group 1711

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4 7 0 2 9 4 7	10/27/87	PALL et al.			
	4 7 0 8 8 6 6	11/24/87	TURCO et al.			
	4 7 1 0 3 7 4	12/01/87	GROLIER et al.			
	4 7 1 8 9 5 7	01/12/88	SENSEBRENNER			
	4 7 8 9 4 3 0	12/06/88	FREDELUCCI			
	4 8 1 6 0 7 3	03/28/89	HELMER			
	4 8 4 1 0 0 8	06/20/89	TSUKAMOTO et al.			
	4 8 7 1 5 3 4	10/03/89	MONTGOMERY			
	4 9 6 2 1 4 1	10/09/90	IACOVIELLO et al.			
	5 1 0 9 0 5 3	04/28/92	SMITH et al.			
	5 1 3 7 6 3 3	08/11/92	WANG			
	5 1 2 0 2 5 9	06/09/92	NAKATA et al.			
	5 1 5 8 6 2 2	10/27/92	REICHGOTT et al.			
	5 2 1 9 9 1 4	06/15/93	WARBURTON, Jr.			
	5 2 8 1 2 8 2	01/25/94	DOLAN et al.			
	5 2 8 2 9 0 5	02/01/94	REICHGOTT et al.			
	5 3 8 7 6 5 8	02/07/95	NAKAMAE et al.			
	5 3 3 8 8 0 7	08/16/94	ESPY et al.			
	5 3 5 6 4 9 0	10/18/94	DOLAN et al.			
	5 3 8 9 4 0 5	02/14/95	PURNELL et al.			
	5 5 4 3 4 4 6	08/06/96	RODRIGUEZ			
	5 6 0 2 2 0 9	02/11/97	WARCHOL et al.			
	5 6 3 3 3 0 9	03/27/97	WARCHOL et al.			
	5 6 4 4 0 2 1	07/01/97	MASLANKA			
	5 6 8 5 8 1 5	11/11/97	BOTTORFF et al.			
	5 6 9 3 3 7 1	12/02/97	RODZEWICH et al.			
	5 6 9 8 3 0 5	12/16/97	SUZUKI			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
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OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER: DATE CONSIDERED

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FORM PTO-1449

U.S. Department of Commerce  
Patent and Trademark OfficeAtty. Docket No.  
P18732Application No.  
09/613,425

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**  
(Use several sheets if necessary)

Applicant  
Robert A. GELMAN et al.

COPY

Filing Date  
July 10, 2000Group  
1711**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5 7 1 6 6 0 3	02/10/98	CHEN et al.			
	5 7 1 8 7 5 6	02/17/98	MOHLER			
	5 7 2 5 7 3 1	03/10/98	BRUNGARDT et al.			
	5 7 3 8 8 4 3	04/14/98	MONTGOMERY			
	5 7 7 0 1 8 4	06/23/98	KELLER			
	5 8 1 1 4 7 2	09/22/98	PATEL			
	5 8 4 6 6 6 3	12/08/98	BRUNGARDT et al.			

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3	J. C. Sauer, "Ketene Kimers from Acid Halides," <u>Journal of the American Chemical Society</u> , Vol. 69, pp. 2444-8 (1947).
4	<u>Kirk-Othmer Encyclopedia of Chemical Technology</u> , Fourth Edition, Supplemental Volume, pp. 803-7, J. Wiley & Sons, (New York 1998).
5	"Polycup <sup>®</sup> Resins Crosslinking Agents for Latices and Water-Soluble Polymers," <u>Hercules Product Data</u> , Bulletin NP-102A.
6	"What is a Nonwoven?" Miller Freeman Inc., (1999) <a href="http://www.nonwovens.com/facts/foverview.htm">http://www.nonwovens.com/facts/foverview.htm</a> .
7	"The Airlaid Process," Miller Freeman Inc., (1999) <a href="http://www.nonwovens.com/facts/foverview.htm">http://www.nonwovens.com/facts/foverview.htm</a> .
8	"Types of Nonwoven Binders," Miller Freeman Inc., (1999) <a href="http://www.nonwovens.com/facts/foverview.htm">http://www.nonwovens.com/facts/foverview.htm</a> .
9	"Chemical Binders for Nonwoven," Miller Freeman Inc., (1999) <a href="http://www.nonwovens.com/facts/foverview.htm">http://www.nonwovens.com/facts/foverview.htm</a> .
1 0	"Vitro-Nails <sup>tm</sup> " Brochure, IMS Testing Group, (date unknown).
1 1	"Additives For Water-Based Nail Polish", Schlossman, Society of Cosmetic Chemistry Science Meeting & Technology Showcase, 1988.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



GREENBLUM & BERNSTEIN, P.C.  
Intellectual Property Causes  
1941 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

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1/29/2001

File In: Mailroom

The Patent Office Date stamp hereon is an acknowledgement that, on the date indicated, the Patent Office received the following:

- |  |   |
|--|---|
| <input type="checkbox"/> Amendment _____   | <input type="checkbox"/> Claim of Priority & Certified Copy of _____  |
| <input type="checkbox"/> Executed Assignment and cover letter<br><input type="checkbox"/> by facsimile   |   |
| <input type="checkbox"/> Executed S.E.S. <input type="checkbox"/> S.E.S. Assertion   | <input type="checkbox"/> Declaration <input type="checkbox"/> Supplemental  |
| <input type="checkbox"/> Req. for Ext. of Time   | <input type="checkbox"/> New <input type="checkbox"/> Unexecuted  |
| <input type="checkbox"/> Fee Filing <input type="checkbox"/><br>Recording <input type="checkbox"/><br>Issue <input type="checkbox"/><br>Extension <input type="checkbox"/> | <input type="checkbox"/> Executed by facsimile  |
| <input type="checkbox"/> Fee Transmittal   | <input type="checkbox"/> Transmittal Letter   |
| <input type="checkbox"/> Maintenance Fee Payment   | <input type="checkbox"/> Patent Application   |
| <input type="checkbox"/> PTOL-85B Issue Fee  | <input type="checkbox"/> Provisional <input type="checkbox"/> Reexam  |
| <input type="checkbox"/> Letter to Draftsman   | <input type="checkbox"/> Reissue <input type="checkbox"/> Design  |
| <input type="checkbox"/> Design Patent Application Transmittal   | <input type="checkbox"/> Continuation <input type="checkbox"/> CIP  |
| <input type="checkbox"/> Utility Patent Application Transmittal  | _____ pages (w/abstract)  |
| <input type="checkbox"/> Provisional Application Cover Sheet   | _____ claims _____ independent  |
| <input type="checkbox"/> Continued Prosecution Application   | _____ sheets of drawings  |
| (CPA) Request Transmittal  | <input checked="" type="checkbox"/> <u>supplemental</u> figures   |
| <input type="checkbox"/> Request for Continued Examination (RCE)<br>including Submission   | <input checked="" type="checkbox"/> I.D.S. form PTO-1449 &<br>References <input checked="" type="checkbox"/> as attached<br><input type="checkbox"/> as listed on reverse |
| <input type="checkbox"/> Cover Letter  | <input type="checkbox"/> One self-addressed postcard  |
| <input type="checkbox"/> Check No. _____ for \$ _____  | <input type="checkbox"/> Certification under 1.97(e)  |
| <input type="checkbox"/> Rule 53b and 53f Letter for<br>Unexecuted Application   | <input type="checkbox"/> Certificate of Mailing (C-O-M)   |
|  | <input type="checkbox"/> Returned Envelope  |
|  | <input checked="" type="checkbox"/> <u>Copy of International Search Report</u>  |



In the matter of : COMPOSITION FOR IMPARTING DESIRED PROPERTIES TO MATERIALS

Applicant : Robert A. GELMAN *et al.*  
          Matthew B. HOWLE  
          Andrea KEYS

Application No. : 09/613,425

Patent No. :

Filed : 7/10/2000

Issued :

Docket : P18732 JHT

[illegible]

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P18732.A04



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Robert A. GELMAN et al.

Group Art: 1711

Appl. No. : 09/613,425

Examiner: Unknown

Filed : July 10, 2000

For : COATING COMPOSITIONS AND SUBSTRATES COATED THEREWITH

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Further to the Information Disclosure Statement filed November 13, 2000 for the above-identified Patent Application and pursuant to the duty of disclosure set forth in 37 CFR § 1.56 and in accordance with the provisions of 37 CFR §§ 1.97 and 1.98, Applicants submit herewith an International Search Report (in English) which was issued in connection with International Application No. PCT/US 00/18680 on November 29, 2000. Applicants also submit herewith the following documents that are cited in the International Search Report. The Examiner is referred to the International Search Report for an indication of the relevance given by the International Examiner to the cited documents relative to the claims of the International application.

EP 0 606 005 A

EP 0 579 081 A

US 5,314,945

US 4,049,607

WO 99/14278 A



The Examiner's attention is also directed to the following document which is listed in the Annex of the International Search Report as being a family member of EP 0 606 005A, cited above:

US Patent 5,439,010

Still further, The Examiner's attention is also directed to the following document which is listed in the Annex of the International Search Report as being a family member of US 5,314,945, cited above:

US Patent 5,397,646

Copies of the above-noted documents are enclosed. Each document is listed on the attached PTO-1449 form to permit the Examiner to indicate consideration thereof.

The Examiner is respectfully requested to consider the documents cited above and to confirm such consideration by initialling in the appropriate spaces on the PTO-1449 form and returning a copy of the initialled form to Applicants.

In accordance with 37 C.F.R. 1.97(e), the undersigned hereby states that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Of course, this statement does not apply to information that has previously been cited by Applicant or the Examiner in the present application as that information is already of record and no certification is necessary.

The disclosure of the above documents does not constitute an admission that any particular document is relevant or material to the claims, or is prior analogous art with respect to the present

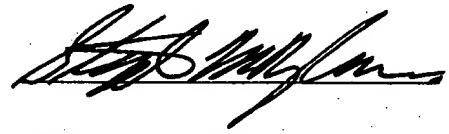
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P18732.A04

application. The citation of these documents is not to be construed as a representation that no better art exists, or that a search has been made.

Any comments or questions concerning this application can be directed to the undersigned at the telephone number given below.

Respectfully submitted,  
Robert A. GELMAN, et al.



Stephen M. Roylance  
Reg. No. 31,296

January 29, 2001  
GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191



Form PTO-1449

U.S. Department of Commerce  
Patent and Trademark OfficeAtty. Docket No.  
P18732Serial No.  
09/613,425

INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT  
(Use several sheets if necessary)

Applicant  
ROBERT a. gelman ET AL.Filing Date  
July 10, 2000Group  
1711

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5 3 1 4 9 4 5	05/24/94	NICKLE et al.			
	4 0 4 9 6 0 7	09/20/77	BERGHOFF			
	5 4 3 9 0 1 0	08/08/95	ROSS			
	5 3 9 7 6 4 6	03/14/95	NICKLE et al.			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
0 6 0 6 0 0 5	07/13/94	E. P. O.			
0 5 7 9 0 8 1	01/19/94	E. P. O.			
9 9 / 1 4 2 7 8	03/25/99	W. I. P. O.			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

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